



Our Case No. 8406/4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
HOWARD B. GARBER)
Serial No. 08/868,200)
Filed: June 3, 1997)
For: SYSTEM AND METHOD FOR)
TRADING HAVING A)
PRINCIPAL MARKET MAKER)

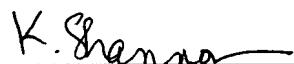
INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Attached are fourteen references pertinent to the above-captioned patent application.

Respectfully submitted,


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